

# Computer Organization & Design

## The Hardware/Software Interface

施青松

<http://10.214.26.103> Email: [zjsqs@zju.edu.cn](mailto:zjsqs@zju.edu.cn)



# Background

It is very easy to design CPU **IP** Core!

It is not easy to design good CPU!

To design successfully is far more  
difficult than one!

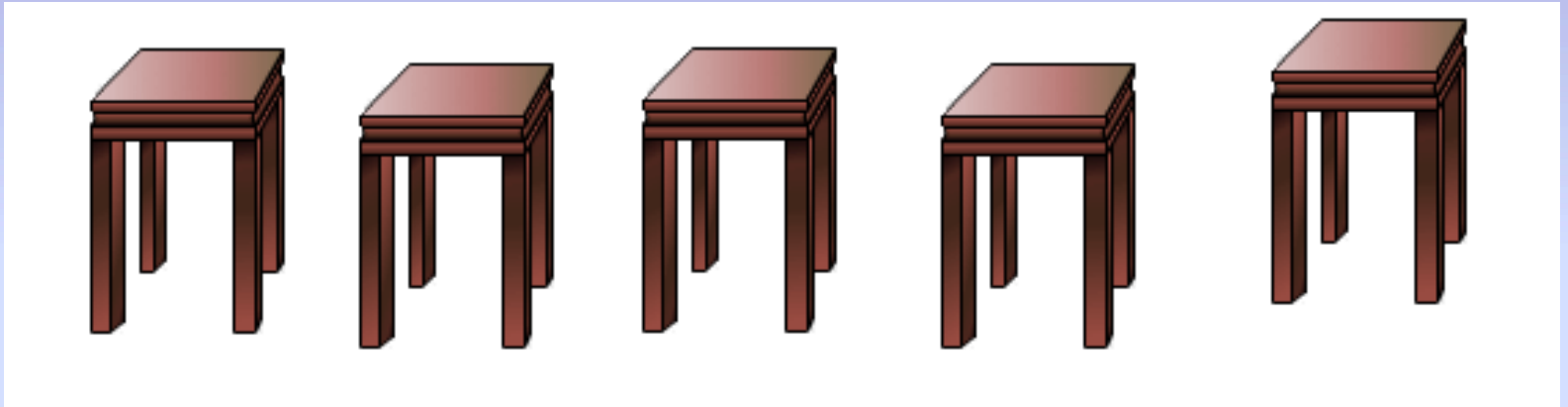




# 课程地位

## ■ 考研统考课程之一

软件：汇编语言 → 编译 → OS → 算法语言 → 软件工程



硬件：数字电路 → 组成 → 硬件实现 → 接口 → 体系结构

---

软件专业  
计算机专业



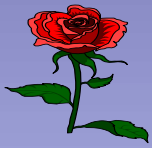


# 课程体系：三位一体、循序递进

立足基础、加强实践、服务专业、进入国际

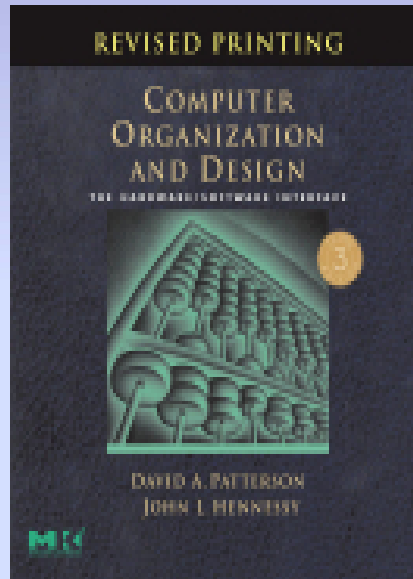
- 数字逻辑课程：计算机组成相关部件的设计 **基础**
  - 组合电路设计、时序电路设计
- 计算机组成：设计简单**RISC-CPU**核 **核心**
  - ALU部件
  - 单周期实现、多周期实现简单的32位RISC-CPU
  - 写入FPGA，用实验板卡做测试验证。
- 计算机系统结构：设计流水线**RISC-CPU**核心 **提高**





# 课程教材

## ■ Computer Organization & Design ——The Hardware/Software Interface



John L. Hennessy

Stanford University

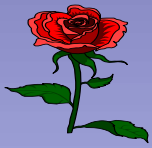
David A. Patterson

California University, Berkeley



实验教材





# 如何学好这门课？----耕耘与收获

## ■ 孟子曰：

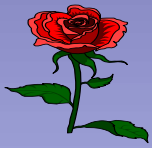
舜发于畎亩之中，傅说举于版筑之间，胶鬲举于鱼盐之中，管夷吾举于士，孙叔敖举于海，百里奚举于市。

## ■ 故天将降大任于斯人也，必先苦其心志，劳其筋骨，饿其体肤，空乏其身，行拂乱其所为，所以动心忍性，曾益其所不能。

## ■ 人恒过，然后能改。困于心，衡于虑，而后作。征于色，发于声，而后喻。入则无法家拂士，出则无敌国外患者，国恒忘。

## ■ 然后知生于忧患，而死于安乐也。





# 成功的秘诀

出生

- 舜从田野之中被任用，傅说从筑墙工作中被举用，胶鬲从贩卖鱼盐的工作中被举用，管夷吾从狱官手里释放后被举用为相，孙叔敖从海边被举用进了朝廷，百里奚从市井中被举用登上了相位。

磨难

- 所以上天将要降落重大责任在这样的人身上，一定要道先使他的内心痛苦，使他的筋骨劳累，使他经受饥饿，以致肌肤消瘦，使他受贫困之苦，使他做的事颠倒错乱，总不如意，通过那些来使他的内心警觉，使他的性格坚定，增加他不具备的才能。

历程

- 人经常犯错误，然后才能改正；内心困苦，思虑阻塞，然后才能有所作为；这一切表现到脸色上，抒发到言语中，然后才被人了解。在内（国内）如果没有坚持法度的世臣和辅佐君主的贤士，在外（国际）如果没有敌对国家和外患，此国便经常导致灭亡。

祖训

- 这就可以说明，忧愁患害可以使人生存，而安逸享乐使人萎靡死亡。





# 课堂教学的作用

- 教学是双方互动的，不能一边倒。大学应素质教育为主，要鼓励学生在教师指导下的自学与动手。
- 课堂教学作用是：引出知识及相关知识点，引导学生猎取知识的方向，分析知识的难点，学会分析讨论解决问题的途经，节省课余时间，提高自学的效率。
- 学会‘止于至善’，知道‘物极必反’
  - ☞ 大学之道，在明明德，在亲民，在止於至善。知止而後有定，定而後能静，静而後能安，安而後能虑，虑而後能得。物有本末，事有终始，知所先後，则近道矣。







# 课堂教学----实践的指导方针

- 注重知识的系统性、连贯性，强化实践能力
  - ☞ 立足组成，三位一体，从**程序员角度俯视组成结构**
  - ☞ 知其来路，又知其去路；知其然，知其所以然。
- 培养自主学习能力
  - ☞ 引出组成及相关知识的自主获取和消化方法
    - 📖 力求充分体现培养学生硬件知识的自学方法
  - ☞ 引导猎取知识的方向，给出分析问题的途经
    - 📖 节省课余时间，提高预习、复习、自学的效率。
- 启发式、鼓励式课堂交互
  - ☞ 引出关键问题，开展提问和讨论
  - ☞ 培养讨论，争论，辩论的学习气氛
  - ☞ 核心、重要知识点学生上台
  - ☞ \*课程设计presentation





# 实验教学----知识的感性化

1	MIPS汇编模拟	(光盘)用软件进行汇编反汇编MIPS模拟机实现实验
2	硬件设计基础	Spartan实验板与ISE软件进行硬件设计基础实验
3	基本组件设计	MUX、寄存器组组件设计
4	ALU与ALU控制器	ALU设计实验，ALU控制器
5	R类型指令设计	单指令设计实现
6	CPU控制器	CPU控制器设计
7	单时钟数据通道	单时钟数据通道设计
8	多时钟数据通道	多时钟数据通道设计
9	微程序控制单元	微程序控制单元设计
10	微程序控制处理器	微程序控制数据通道设计
11	有限指令CPU设计	9条指令的IP核实现
12	MIPS处理器系统模拟	编写MIPS模拟执行

以实验课为准





# 考核

- 平时 15%

  - ☞ 作业、阅读：光盘+一篇论文

- 期中 15%(统一时间)

  - ☞ **5.4 A Simple Implementation Scheme**

- 期末 70%

  - ☞ The all and the one

- 英文试卷





# Content at Classroom

- **Chapter One: Computer Abstractions and Technology**
- **Chapter Two: Instructions: Language of the Computer**
  - 2.1 Introduction
  - 2.2 Operations of the Computer Hardware
  - 2.3 Operands of the Computer Hardware
  - 2.4 Representing Instructions in the Computer
  - 2.5 Logical Operations
  - 2.6 Instructions for Making Decisions
  - 2.7 Supporting Procedures in Computer Hardware
  - 2.8 Communicating with People
  - 2.9 MIPS Addressing for 32-bit Immediates and Addresses
  - 2.10 Starting a Program
  - 2.11 How Compilers Optimize
  - 2.12 How Compilers Work: An Introduction
  - 2.13 A C Sort Example to Put It All Together
  - 2.14 Implementing an Object Oriented Language
  - 2.15 Arrays versus Pointers





# Content at Classroom-2

## ■ Chapter Three: Arithmetic for Computers

- 3.1 Introduction
- 3.2 Signed and Unsigned Numbers
- 3.3 Addition and Subtraction
- 3.4 Multiplication
- 3.5 Division
- 3.6 Floating Point

## ■ Chapter Five: The Processor: Datapath and Control

- 5.1 Introduction
- 5.2 Logic Design Conventions
- 5.3 Building a Datapath
- 5.4 A Simple Implementation Scheme**
- 5.5 A Multicycle Implementation**
- 5.7 Exceptions
- 5.8 Microprogramming: Simplifying Control Design
- 5.9 An Introduction to Digital Design Using a Hardware Design Language





# Content at Classroom-3

- **Chapter Seven: Large and Fast: Exploiting Memory Hierarchy**
  - 7.1 Introduction
  - 7.2 The Basics of Caches
  - 7.3 Measuring and Improving Cache Performance
  - 7.4 Virtual Memory
  - 7.5 A Common Framework for Memory Hierarchies
- **Chapter Eight: Storage, Networks, and Other Peripherals**
  - 8.1 Introduction
  - 8.2 Disk Storage and Dependability
  - 8.3 Networks
  - 8.4 Buses: Connecting I/O Devices to Processor and Memory
  - 8.5 Interfacing I/O Devices to the Memory, Processor, and Operating System
  - 8.6 I/O Performance Measures: Examples from Disk and File Systems
  - 8.7 Designing an I/O System





# Kernel

- How does Hardware support HLL?
- Arithmetic for Computers
- **Datapath and Control**
- Exploiting Memory Hierarchy
- Storage, Networks, and Other Peripherals





# 考研大纲 《计算机组成》课程分析







# 考查目标

## ■ 计算机学科专业基础综合考试涵盖

- ☞ 数据机构(45分)
- ☞ 计算机组成原理(45分)
- ☞ 操作系统(35分)
- ☞ 计算机网络(25分)

计组是最重要两门课程之一

## ■ 要求

- ☞ 考生比较系统地掌握上述专业基础课程的概念、基本原理和方法
- ☞ 能够运用所学的基本原理和基本方法分析、判断和解决有关理论问题和实际问题





# 考试形式和试卷结构

## ■ 试卷满分及考试时间

☞ 满分150分，考试时间180分钟(3小时)

## ■ 答题方式

☞ 答题方式为闭卷、笔试

按比例，计组有45分：  
选择题13.3题目26.7分  
应用题23.3分

## ■ 试卷内容分布

☞ 数据结构 45分

☞ 计算机组成原理 45分

☞ 操作系统 35分

☞ 计算机网络 25分

两种分配方案

如应用题20分，则选择题12.5道

如应用题25分，则选择题10道

## ■ 试卷题型结构

☞ 单项选择题 80分(40小题，每小题2分)

☞ 综合应用题 70分

应用题型：简答5分一个，问答10分一个，简单设计10分一个，复杂一些的设计15分





# 计算机组成原理

## ■ 考查目标

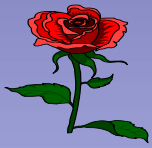
1. 理解单处理器计算机系统中各部件的内部工作原理、组成结构以及相互连接方式，具有完整的计算机系统的整机概念。
2. 理解计算机系统层次化结构概念，熟悉硬件与软件之间的界面，掌握指令集体系结构的基本知识和基本实现方法。
3. 能够运用计算机组成的基本原理和基本方法，对有关计算机硬件系统中的理论和实际问题进行计算、分析，并能对一些基本部件进行简单设计。

**目标1：** 以**MIPS**为主,本课程主要介绍的是**RISC**，补充**CISC**处理器(**X86**)  
后续微机原理课程主要介绍**X86**结构

**目标2：** 这部分包括了汇编，本课程介绍**RISC**汇编，**CISC**汇编在微机原理课程介绍中；内容还涉及到部分计算机体系结构课程，后面有详述

**目标3：** 这部分涉及了数字电路知识，由逻辑与计算机设计基础课程介绍





# 大知识点分析

## ■ 大纲涉及七大知识点

一、计算机系统概述

二、数据的表示和运算

三、存储器层次机构

四、指令系统

五、中央处理器(CPU)

六、总线

七、输入输出(I/O)系统

本课程的大纲:

一、概述

二、MIPS汇编语言（属于RISC指令集）

三、计算机代数（含数的表示、ALU设计）

四、数据通道（含控制器）设计\*

五、存储层次

六、输入输出（含一小部分总线知识点）

结论：在大知识点上，  
本课程覆盖大纲

\*三中的ALU设计，加上四的控制器，合在一起就是中央处理器设计





# **Chapter 1**

## **Computer Abstractions and Technology**

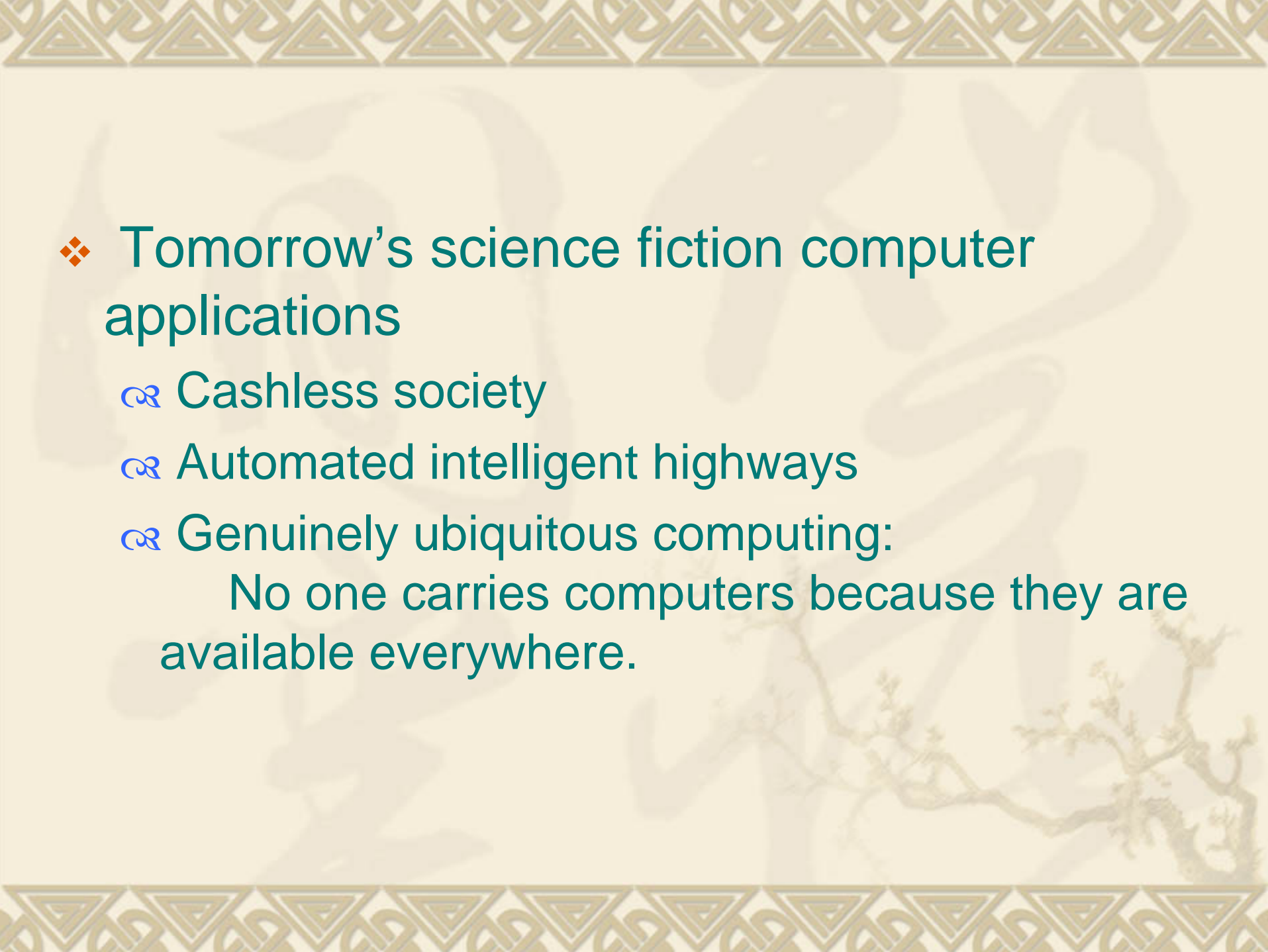

# Contents of Chapter 1

- ❖ 1.1 Introduction
- ❖ 1.2 Computer Language and Software System
- ❖ 1.3 Computer Hardware System
- ❖ 1.4 Integrated Circuits
- ❖ 1.5 Real Stuff: Manufacturing Pentium Chips
- ❖ 1.6 History of Computer Development



# 1.1 Introduction

- ❖ Computers have led to a third revolution for civilization
- ❖ The following applications used to be “computer science fiction”
  - ❧ Automatic teller machines
  - ❧ Computers in automobiles
  - ❧ Laptop computers
  - ❧ Human genome project
  - ❧ World Wide Web




## ❖ Tomorrow's science fiction computer applications

- ❧ Cashless society

- ❧ Automated intelligent highways

- ❧ Genuinely ubiquitous computing:

No one carries computers because they are available everywhere.





# ❖ Classes of Computer Applications and Their Characteristics

- ❧ Desktop computer
- ❧ Servers
- ❧ Embedded computer

# ❖ The influence of hardware on software

## ❧ In the past

- ❖ Memory size was very small
- ❖ Programmers must minimize memory space to make programs fast

## ❧ Nowadays

- ❖ The hierarchical nature of memories
- ❖ The parallel nature of processors
- ❖ Programmers must understand computer organization more

## ❖ Brief introduction to this course

❧ The internal organization of computers and its influence on the performance of programs

❧ The hierarchy of software and hardware

- ❖ How are programs written in high-level language translated into the language of the hardware, and how does it run?
- ❖ What is the interface between the software and the hardware, and how does software instruct the hardware to perform?
- ❖ What determines the performance of a program, and a programmer improve the performance?
- ❖ What techniques can used to improve performance?

## ❖ Brief introduction to Chapter 1

- ❧ Basic ideas and definitions
- ❧ Major components of software and hardware
- ❧ Introduction to integrated circuits
- ❧ Technology that fuels the computer revolution

# Where is the performance bottleneck?

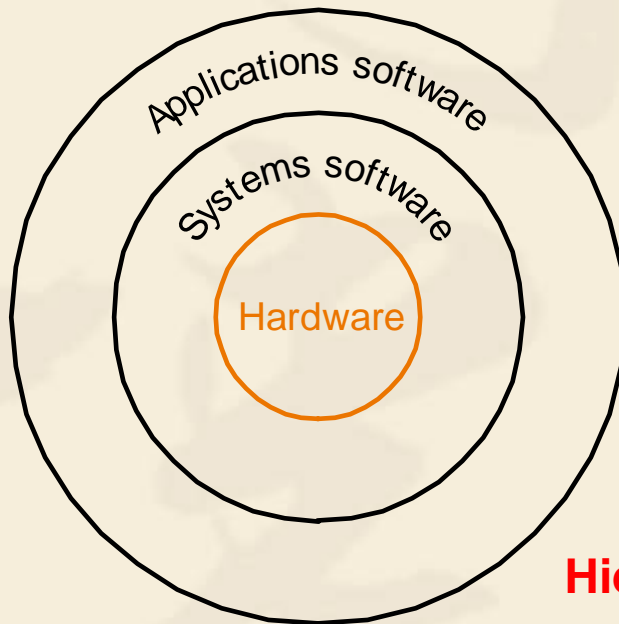
Hardware or software component	How this component affects performance	Where is this topic covered?
Algorithm	Determines both the number of source-level statements and the number of I/O operations executed	Other books!
Programming language, compiler, and architecture	Determines the number of machine instructions for each source-level statements	Chapter 2 and 3
Processor and memory system	Determines how fast instructions can be executed	Chapter 5,6 and 7
I/O system(hardware and operating system)	Determines how fast I/O operations may be executed	Chapter 8



# 1.2 Below Your Program

## From a High-Level Language to the Language of Hardware

A simplified view of hardware and software as hierarchical layers



Problem:

should we really place  
compilers in the systems  
software level ?

Hierarchical layers

# Some terms

## ❖ Machine language

- ❧ Computers only understands electrical signals
- ❧ Easiest signals: *on* and *off*
- ❧ Binary numbers express machine instructions *ex.*  
*1000110010100000* means to add two numbers
- ❧ Very tedious to write

## ❖ Assembly language

- ❧ Symbolic notations *ex.* *add A, B*
- ❧ The assembler translates them into machine instruction
- ❧ Programmers have to think like the machine

## ❖ High-level programming language

- ❧ Notations more closer to the natural language

ex.  $A + B$

- ❧ The compiler translates them into assembly language statements

- ❧ Subroutine library ---- reusing programs

- ❧ Advantages over assembly language

- ❖ Programmers can think in a more natural language
- ❖ Improved programming productivity
- ❖ Programs can be independent of hardware



## ❖ Categorize software by its use

- ❧ Systems software ---- aimed at programmers
- ❧ Applications software ---- aimed at users

## ❖ Operating System

- ❧ Handling basic input and output operations
- ❧ Allocating storage and memory
- ❧ Providing for sharing the computer among multiple applications using it simultaneously

## ❖ Compiler

- ❧ Translation of a program written in HLL

# From a High-Level Language to the Language of Hardware

## ❖ The process of compiling and assembling

High-level  
language  
program  
(in C)

```
swap(int v[], int k)
{int temp;
  temp = v[k];
  v[k] = v[k+1];
  v[k+1] = temp;
}
```

C compiler

Assembly  
language  
program  
(for MIPS)

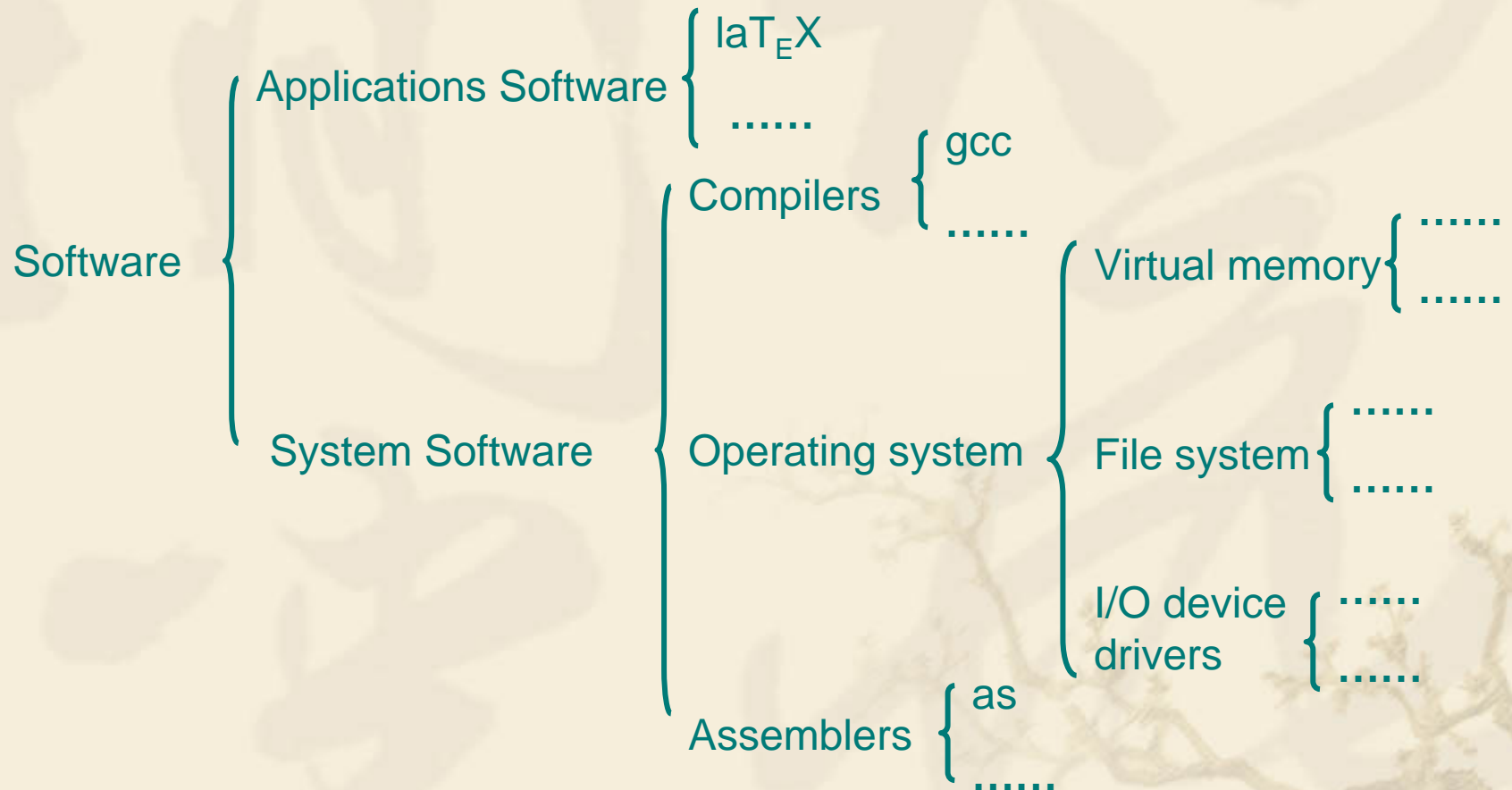
```
swap:
  muli $2, $5,4
  add $2, $4,$2
  lw $15, 0($2)
  lw $16, 4($2)
  sw $16, 0($2)
  sw $15, 4($2)
  jr $31
```

Assembler

Binary machine  
language  
program  
(for MIPS)

```
000000001010000100000000000011000
00000000100011100001100000100001
10001100011000100000000000000000
1000110011110010000000000000000100
10101100111100100000000000000000
1010110001100010000000000000000100
000000111110000000000000000001000
```

# An example of the decomposability of computer systems



# 1.3 Under the Covers

## Computer Hardware System

### ❖ Mouse



#### ❧ The mechanical version

- ❖ Moving the mouse rolls the large ball inside
- ❖ The ball makes contact with an x-wheel and a y-wheel
- ❖ Decide the distance and direction the mouse moves according to the rotation of wheels

#### ❧ The photoelectric version

- ❖ Better orientation and better precision



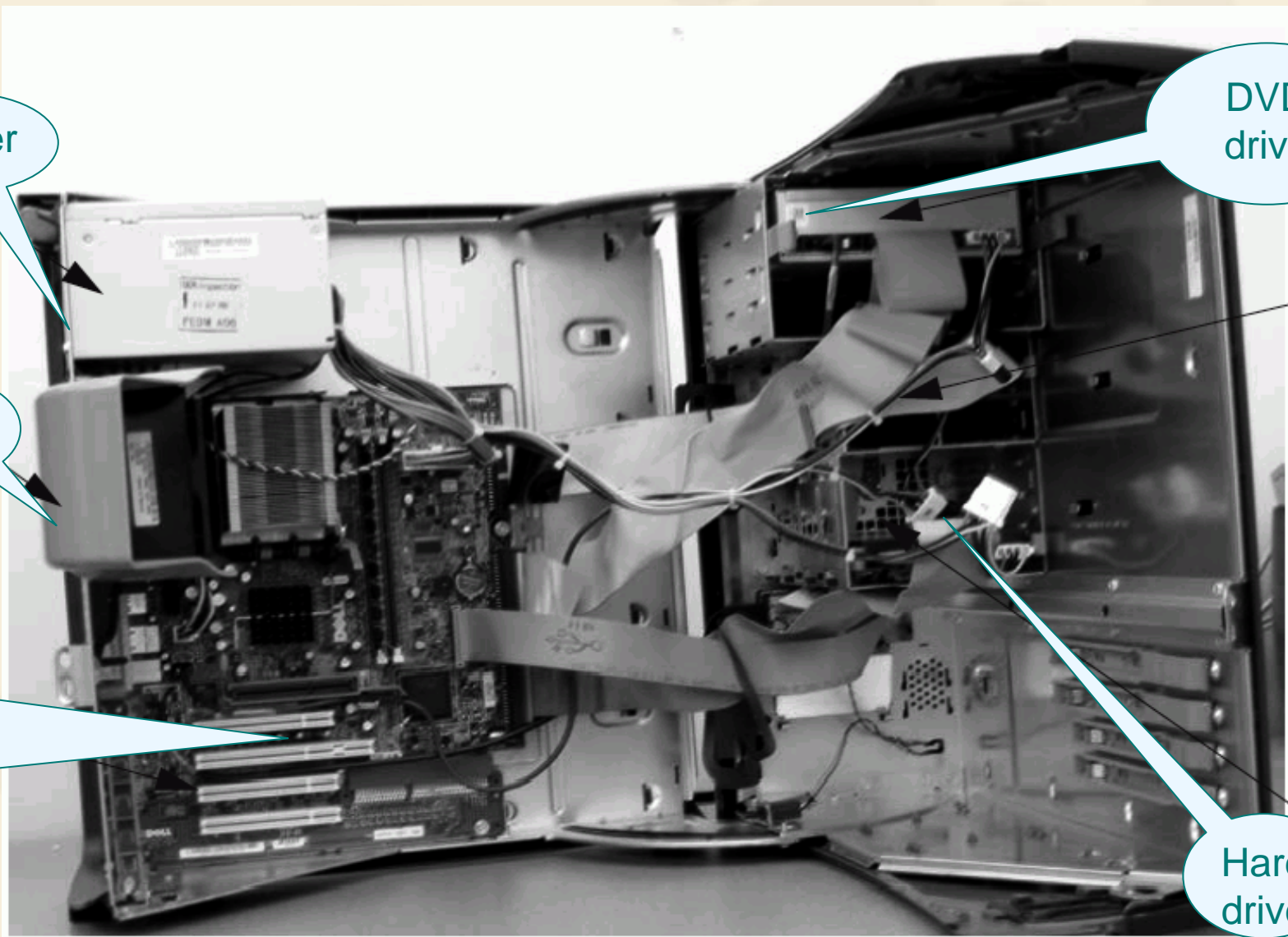
Power

fan

motherboard

DVD  
drive

Hard  
drive



## ❖ Display

### ❧ CRT (raster cathode ray tube) display

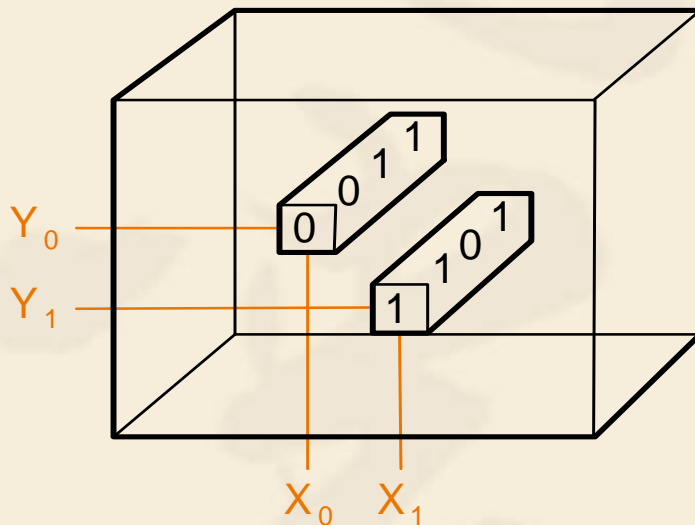
- ❖ Scan an image one line at a time, 30 to 75 times / s
- ❖ Pixels and the bit map,  $512 \times 340$  to  $1560 \times 1280$
- ❖ The more bits per pixel, the more colors to be displayed

### ❧ LCD (liquid crystal display)

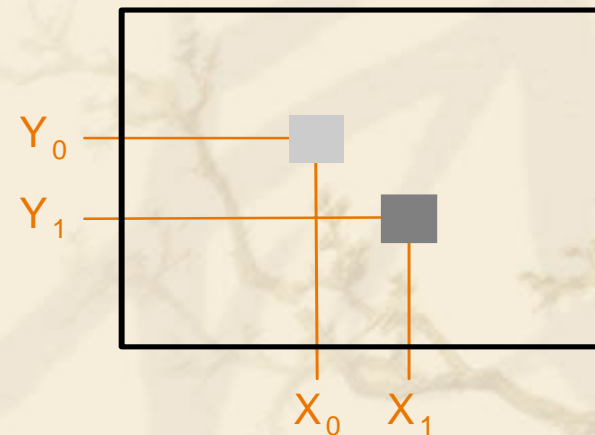
- ❖ Thin and low-power
- ❖ The LCD pixel is not the source of light
- ❖ Rod-shaped molecules in a liquid that form a twisting helix that bends light entering the display

- ❖ Hardware support for graphics ---- raster refresh buffer (**frame buffer**) to store **bit map**
- ❖ Goal of bit map ---- to faithfully represent what is on the screen

Frame buffer



Raster scan CRT display



# ❖ Motherboard and the hardware on it

## ❧ Motherboard

- ❖ Thin, green, plastic, covered with dozens of small rectangles which contain **integrated circuits (chips)**
- ❖ Three pieces: the piece connecting to the I/O devices, memory, and processor

## ❧ Memory

- ❖ Place to keep running programs and data needed
- ❖ Each memory board contains some integrated circuits
- ❖ DRAM and cache

## ❧ Central Processor unit ----CPU

- ❖ Add numbers, tests numbers, signals I/O devices to activate, and so on
- ❖ CPU (central processor unit)



## ❖ Datapath

- ❧ The component of processor that performs arithmetic operations

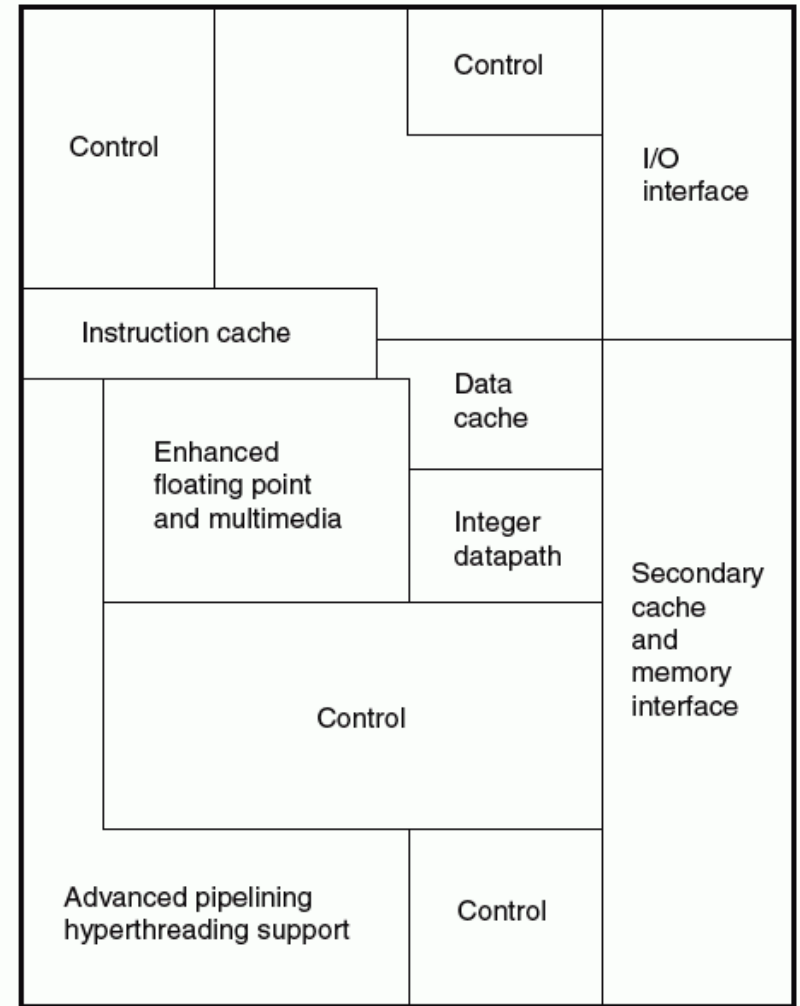
## ❖ Control

- ❧ The component of processor that commands the datapath, memory, and I/O device according to the instructions of the program

# Motherboard

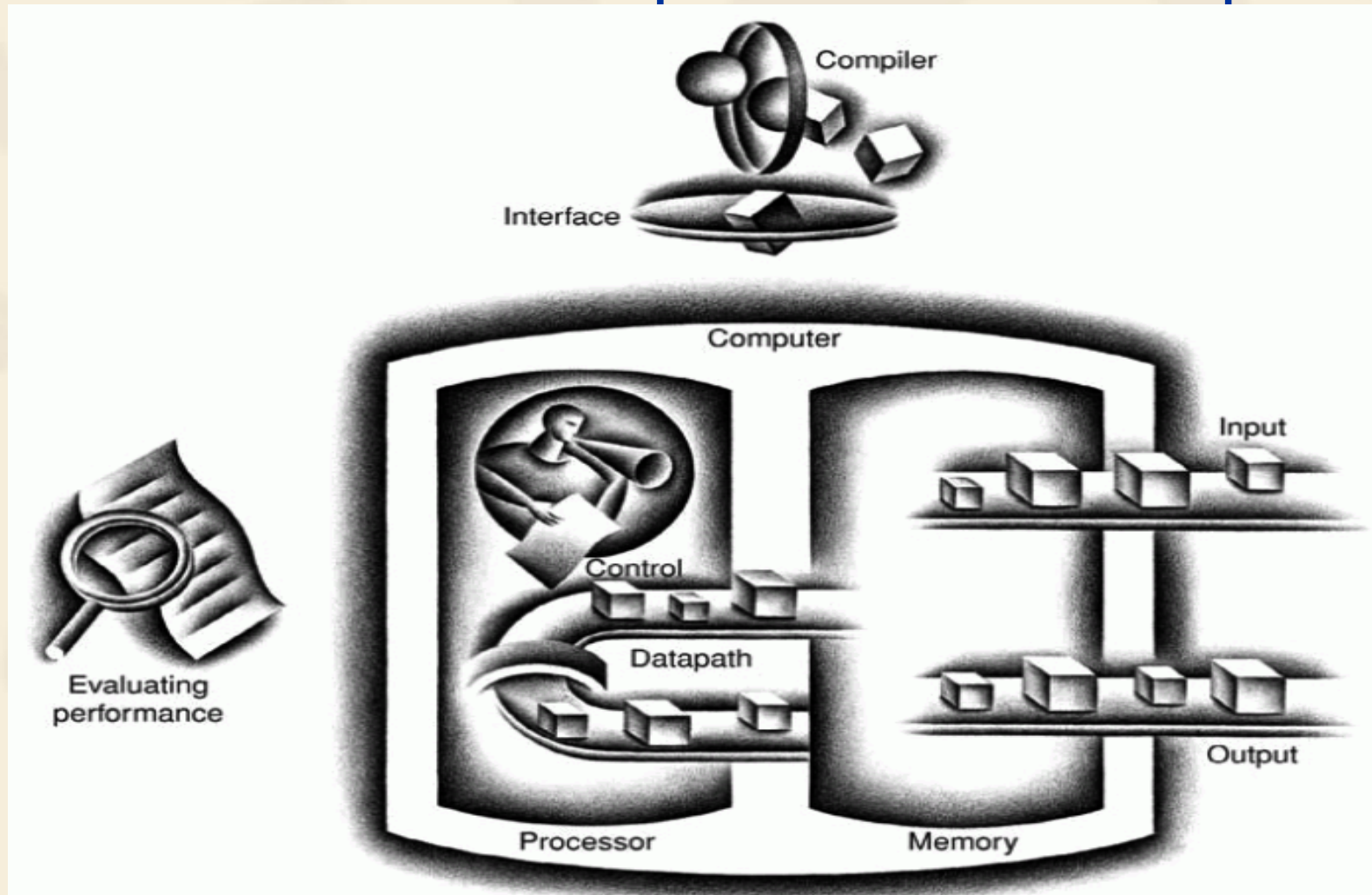


# Inside the processor chip

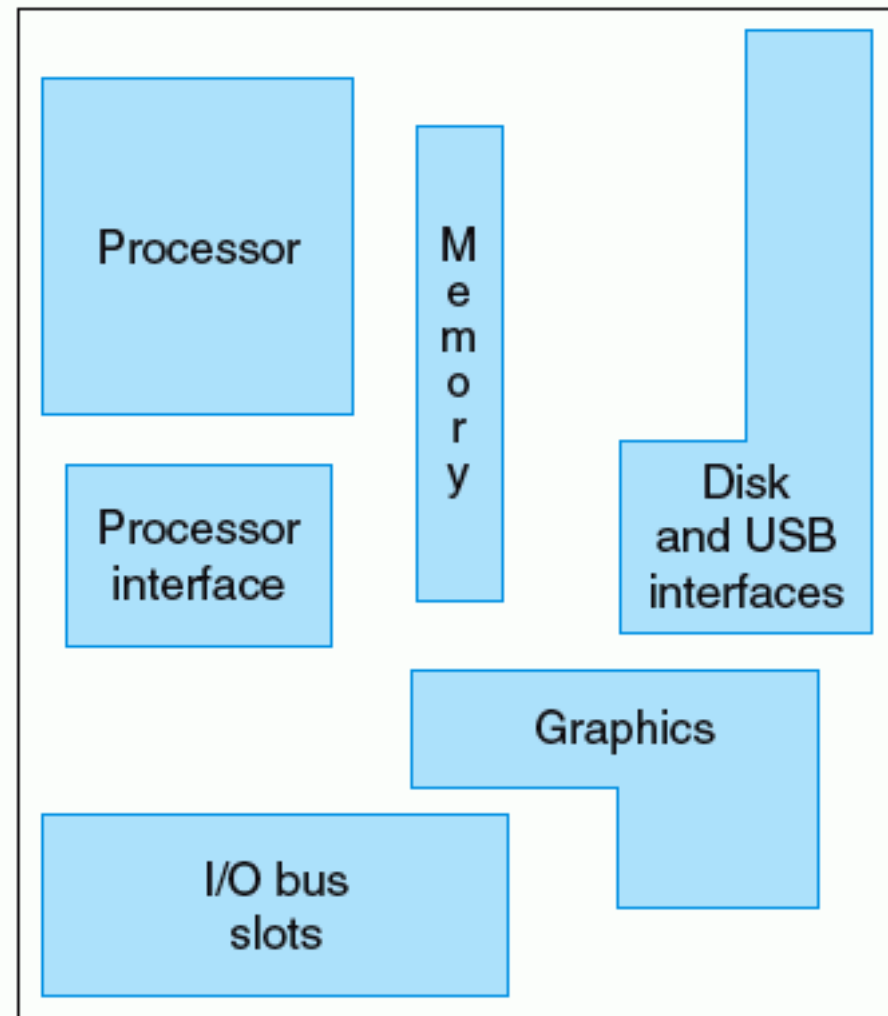
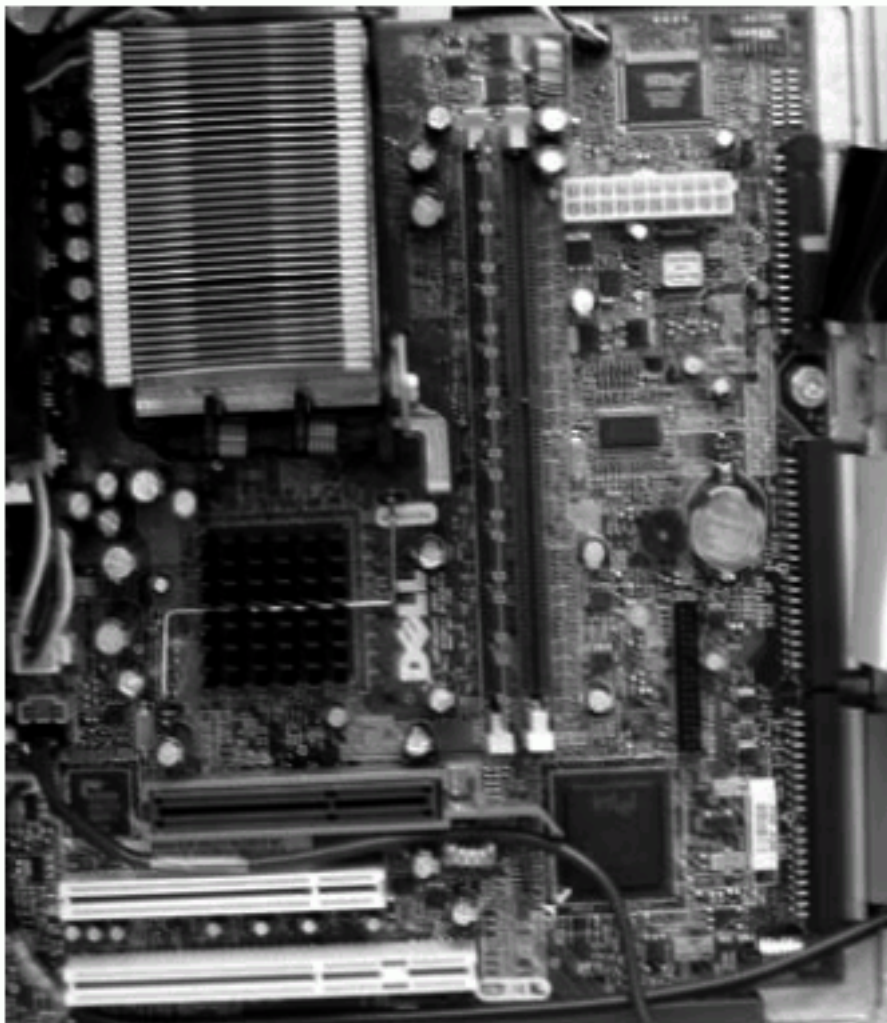




# The five classic components of a computer



# Close-up of PC motherboard



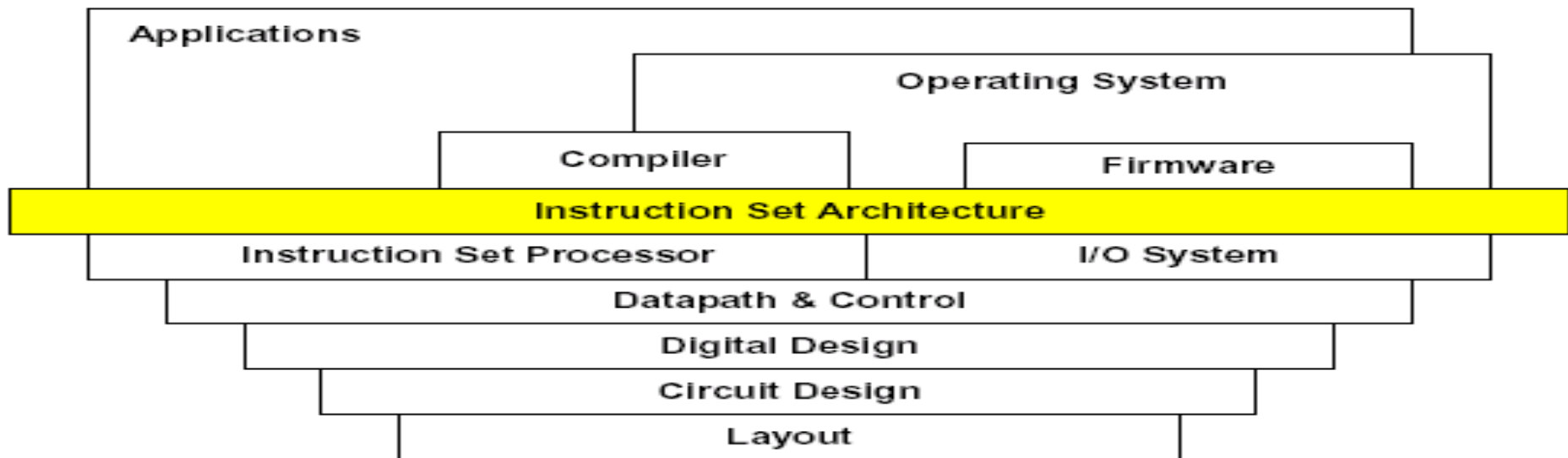
Important concept:

## Virtual machine



### ❖ Abstractions

- Lower-level details are hidden to higher levels
- Instruction set architecture** ---- the interface between hardware and lowest-level software
- Many implementations of varying cost and performance can run identical software





## ❖ A safe place for data ---- secondary memory

- ❧ Main memory is volatile

- ❧ Secondary memory is nonvolatile

- ❧ Magnetic disk

- ❖ Rotating platter coated with a magnetic material

- ❖ Floppy disk

- ❧ Flexible mylar substance

- ❧ 1.44~100MB

- ❧ Removable

- ❖ Hard disk

- ❧ Metal

- ❧ Mostly not removable

- ❧ Rotate on a spindle at 3600 to 7200 r.p.m.

- ❧ Read/write head and movable arm

- ❧ Slower than DRAM, but cheaper for a given storage unit



❧ CD (optical compact disk)

❧ Magnetic tape

## ❖ Communicating with Other Computer -----Computer network

❧ Communication----Information is exchanged

❧ Resource sharing

❧ Nonlocal access

❧ LAN (local area network): Ethernet network

❧ WAN (wide area network) :World Wide Web

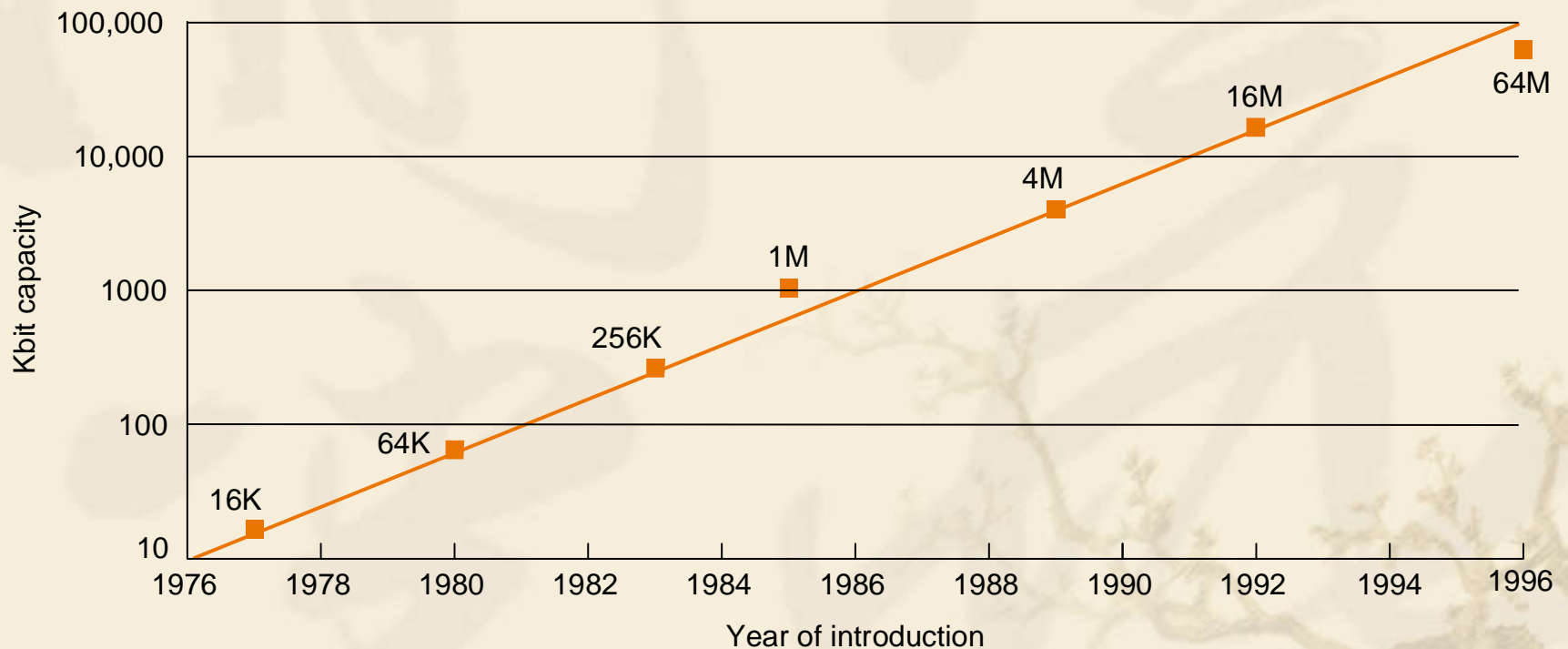
# 1.4 Real Stuff: Manufacturing Pentium 4 Chips

## Semiconductor Integrated Circuits

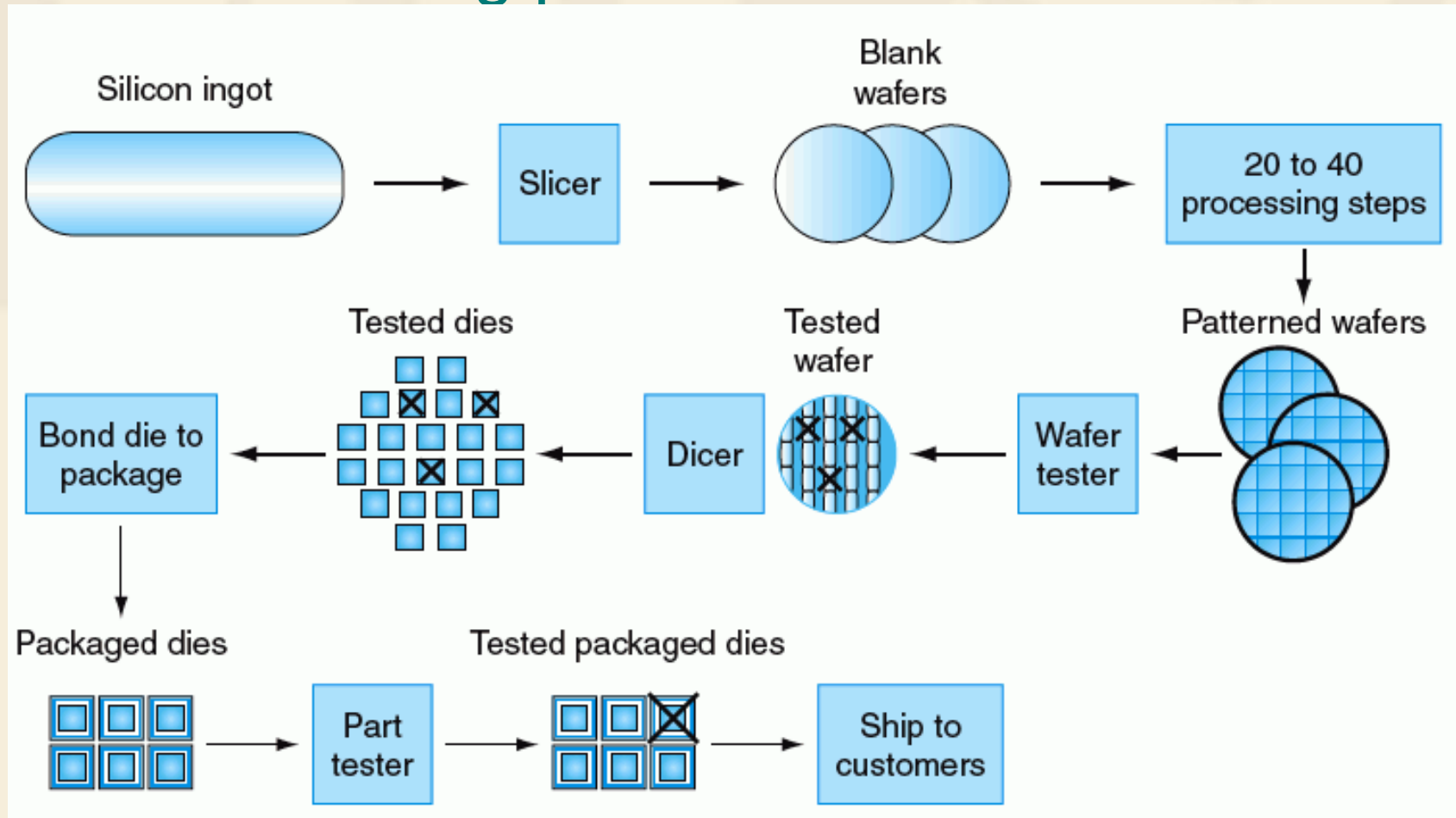
- ❖ Relative performance / unit cost of technologies used in computers

Year	Technology used in computers	Relative performance / unit cost
1951	Vacuum tube	1
1965	Transistor	35
1975	Integrated Circuit	900
1995	Very large-scale integrated Circuit	2,400,000

## ❖ Growth of capacity per DRAM chip over time

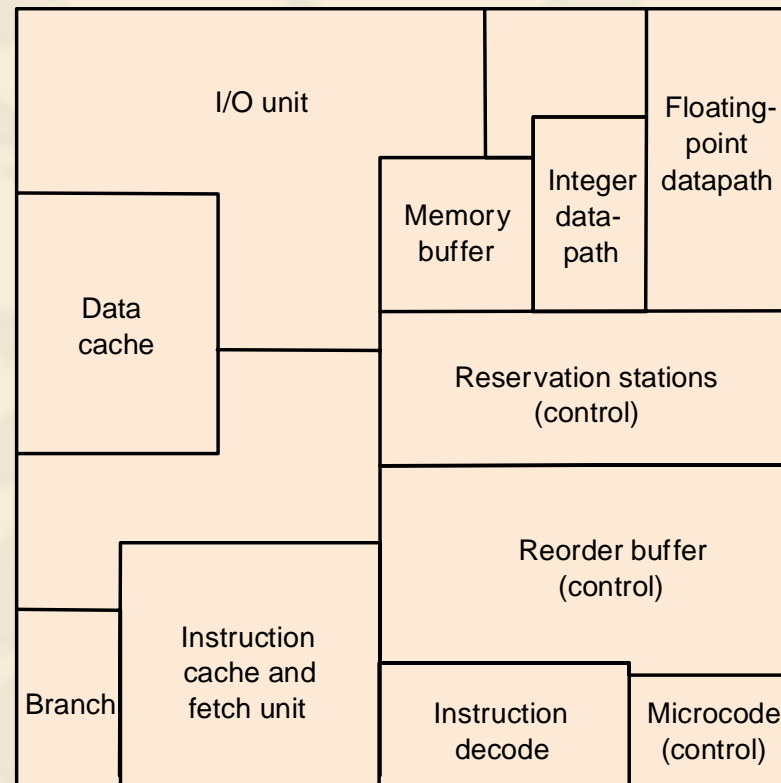


# ❖ The semiconductor silicon and the chip manufacturing process



# Manufacturing Pentium 4 Chips

## ❖ Major blocks of a Pentium Pro die

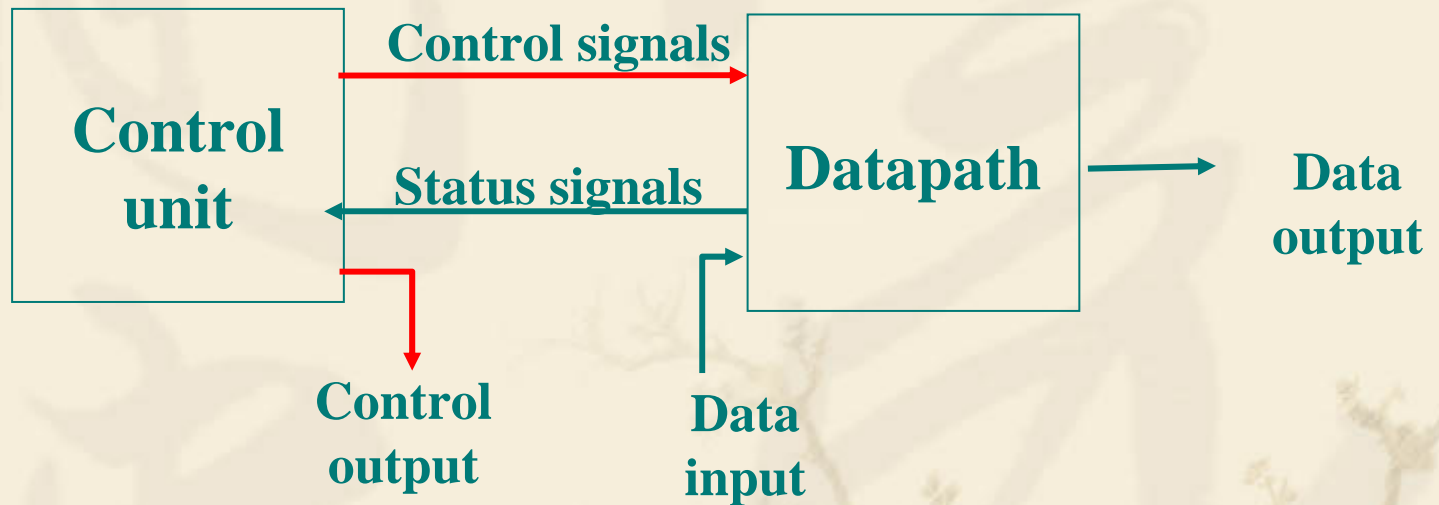




# Digital circuits vs Computer organization

## ❖ Digital circuit

- ❧ General circuits that controls logical event with logical gates (Hardware)



## ❖ Computer organization

- ❧ Special circuits that processes logical action with instructions (Software)



R G B signals

# Board

FPGA

MIPS/X86

CPU

VGA Interface

Controler

Datapath

Experimenting

RS-232 Interface

PC Terminal

PS/2 Interface

KEY Board

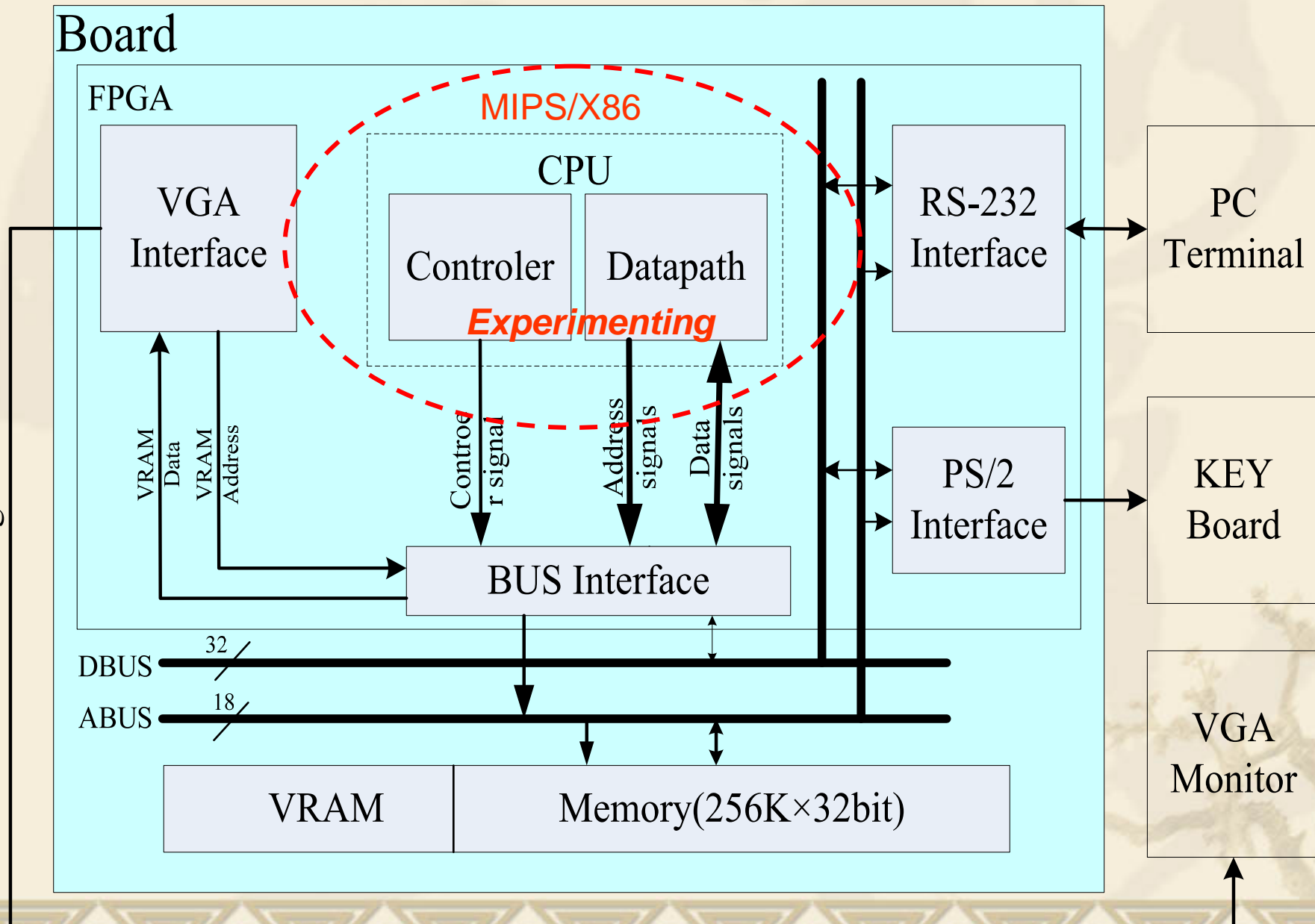
BUS Interface

DBUS 32/  
ABUS 18/

VRAM

Memory(256K×32bit)

VGA Monitor



# 1.5 History of Computer Development

## ❖ The first electronic computers

### ❧ ENIAC (Electronic Numerical Integrator and Calculator)

- ❖ J. Presper Eckert and John Mauchly
- ❖ Publicly known in 1946
- ❖ 30 tons, 80 feet long, 8.5 feet high, several feet wide
- ❖ 18,000 vacuum tubes

### ❧ EDVAC (Electronic Discrete Variable Automatic Computer)

- ❖ John von Neumann's memo about stored-program computer
- ❖ von Neumann Computer




❧ EDSAC (Electronic Delay Storage Automatic Calculator)

- ❖ Operational in 1949
- ❖ First full-scale, operational, stored-program computer in the world

❧ Other computers(omitted)

❧ Harvard architecture:

Program memory and data memory are independent.



## ❖ Commercial Developments

### ❧ Eckert-Mauchly Computer Corporation

- ❖ Formed in 1947
- ❖ \$1 million for each of the 48 computers

### ❧ IBM computers

- ❖ First one, the IBM 701, shipped in 1952
- ❖ Investing \$5 billion for System/360 in 1964

### ❧ Digital Equipment Corporation (DEC)

- ❖ The first commercial minicomputer PDP-8 in 1965
- ❖ Low-cost design, under \$20,000

### ❧ CDC 6600

- ❖ The first supercomputer, built in 1963

## ❖ Cray Research, Inc.

- ❧ Cray-1 in 1976

- ❧ The fastest, the most expensive, the best performance/cost for scientific programs.

## ❖ Personal computer

- ❧ Apple II

  - ❖ In 1977

  - ❖ Low cost, high volume, high reliability

- ❧ IBM Personal Computer

  - ❖ Announced in 1981

  - ❖ Best-selling computer of any kind

  - ❖ Microprocessors of Intel and operating systems of Microsoft became popular



# ❖ Computer Generations

## ❧ First generation

- ❖ 1950-1959, vacuum tubes, commercial electronic computer

## ❧ Second generation

- ❖ 1960-1968, transistors, cheaper computers

## ❧ Third generation

- ❖ 1969-1977, integrated circuit, minicomputer

## ❧ Fourth generation

- ❖ 1978-1997, LSI and VLSI, PCs and workstations

## ❧ Fifth generation

- ❖ 1998-?, micromation and hugeness